

RECOMMENDATION TRACKING REPORT Q3 2020/21

Audit Title	Date Final Issued	Date Follow up Completed	Assurance Rating	Recommendations								Total Recs Acc'd	Total Recs Imp'd	Total Rec Not Imp'd*	Comments
				HR		MR		LR		GP					
				A	I	A	I	A	I	A	I				
Leasehold Properties	04/04/20	28/10/20	Substantial Assurance	0	0	0	0	10	10	7	7	17	17	0	
Advance Payments Code	10/12/19	29/10/20	Substantial Assurance	0	0	1	1	0	0	1	1	2	2	0	
Swansea Marina	11/05/20	09/12/20	High Assurance	0	0	0	0	0	0	2	2	2	2	0	
SQuid System	23/01/20	08/12/20	Substantial Assurance	0	0	3	2	5	5	2	2	10	9	1	Due to Covid the schools were not invoiced in June 2020.
Direct Payments - Adult and Child & Family	03/07/20	16/12/20	High Assurance	0	0	0	0	0	0	3	3	3	3	0	
Councillors Expenses & Allowances	30/07/20	17/12/20	High Assurance	0	0	0	0	0	0	3	3	3	3	0	
Y.G.G. Tirdeunaw	18/09/20	17/12/20	Substantial Assurance	0	0	2	2	4	4	4	4	10	10	0	
Capital Planning and Delivery Unit	27/07/20	17/12/20	High Assurance	0	0	0	0	1	1	0	0	1	1	0	
Contract Register	19/06/20	17/12/20	Substantial Assurance	0	0	2	2	1	1	1	1	4	4	0	
Oystermouth Primary	15/07/20	04/01/21	Substantial Assurance	0	0	1	1	4	4	4	4	9	9	0	
Knotweed Service	03/09/20	04/01/21	High Assurance	0	0	0	0	4	3	0	0	4	3	1	Staff have been reminded about the retention policy and advised to dispose of relevant documents.
Health, Safety & Wellbeing	05/03/19	04/01/21	Substantial Assurance	0	0	1	0	3	3	0	0	4	3	1	There won't be any potential capacity to pursue until likely autumn 2021 at the earliest given the role in the pandemic response.
											69	66	3	95.7%	

*Further details on the recommendations that have not been implemented are reported in Appendix 2.

Key

HR - High Risk. **MR** - Medium Risk. **LR** - Low Risk. **GP** - Good Practice.

A - Accepted. **I** - Implemented